

IDENTIFICATION FORM : NOMINEES



IMPORTANT INFORMATION

A nominee relationship creates a bare trust. As a result Schroders is required under the AML/CTF obligations to identify and verify both the nominee as well as the underlying investor. Schroders is unable to process any application for units in any Schroders Fund until this is complete.

GUIDE TO COMPLETING THIS FORM

o Complete the following in BLOCK LETTERS:

* Section 1 – all Nominees.

* In relation to the underlying investor, download the relevant AML/CTF verification checklist depending on the investor type from the Schroders website or as part of the Schroder PDS and complete and attach to this checklist

SECTION 1: NOMINEE COMPANY DETAILS

1.1 General Information

Full name as registered by ASIC

ACN

Registered office address (PO Box is NOT acceptable)

Street

Suburb State Postcode Country

Principal place of business (if any) (PO Box is NOT acceptable)

Street

Suburb State Postcode Country

1.2 Regulatory/ Listing Details (select **P** the following categories which apply to the company and provide the information requested)

* **Regulated company** (licensed by an Australian Commonwealth, State or Territory statutory regulator)

Regulator name

Licence details

* **Australian listed company**

Name of market / exchange

* **Majority-owned subsidiary of an Australian listed company**

Australian listed company name

Name of market / exchange

1.3 Company Type (select **P** only ONE of the following categories)

* **Public** Section 1 is now complete. Go to Section 2.

* **Proprietary** Go to Section 1.4 below.

1.4 Directors (only needs to be completed for proprietary companies)

This section does NOT need to be completed for public and listed companies.

How many directors are there? provide full name of each director

	Full given name(s)	Surname
1	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>
4	<input type="text"/>	<input type="text"/>

If there are more directors, provide details on a separate sheet

1.5 Shareholders (only needs to be completed for proprietary companies that are not regulated companies as selected in Section 1.2)

Provide details of **ALL individuals** who are beneficial owners through one or more shareholdings of more than 25% of the company's issued capital

Shareholder 1

Full given name(s)					Surname		
<input type="text"/>				<input type="text"/>			
Residential address (PO Box is NOT acceptable)							
Street	<input type="text"/>						
Suburb	<input type="text"/>	State	<input type="text"/>	Postcode	<input type="text"/>	Country	<input type="text"/>

Shareholder 2

Full given name(s)					Surname		
<input type="text"/>				<input type="text"/>			
Residential address (PO Box is NOT acceptable)							
Street	<input type="text"/>						
Suburb	<input type="text"/>	State	<input type="text"/>	Postcode	<input type="text"/>	Country	<input type="text"/>

Shareholder 3

Full given name(s)					Surname		
<input type="text"/>				<input type="text"/>			
Residential address (PO Box is NOT acceptable)							
Street	<input type="text"/>						
Suburb	<input type="text"/>	State	<input type="text"/>	Postcode	<input type="text"/>	Country	<input type="text"/>

SECTION 2: UNDERLYING INVESTOR DETAILS**2.1 General Information**

Full name of underlying investor

2.1 Investor Type

- * **Individual/ Joint Individual/ Sole trade** Complete the AML/CTF Verification form in relation to individuals/joint individuals/sole traders which can be downloaded from Schroders website.
- * **Company** Complete the AML/CTF Verification form in relation to companies which can be downloaded from Schroders website.
- * **Trust** Complete the AML/CTF Verification form in relation to trusts which can be downloaded from Schroders website.
- * **Platform / IDPS Operator** Complete the AML/CTF Verification form in relation to companies which can be downloaded from Schroders website.
- * **Government entity** Complete the AML/CTF Verification form in relation to government entities which can be downloaded from Schroders website.
- * **Associations** Complete the AML/CTF Verification form in relation to associations which can be downloaded from Schroders website.
- * **Registered Co-operatives** Complete the AML/CTF Verification form in relation to registered co-operatives which can be downloaded from Schroders website.