

Schroders Europe Managed Funds

Electronic Dealing – For professional investors only

Schroder Investment Management (Europe) S.A. receives, executes and confirms more than 95% of its daily transactions in an electronic format achieving full Straight Through Processing (STP), an error free environment and volume insensitivity.

Orders can be sent to us either over the Swift network using SWIFT ISO20022 XML messages or using one of the below listed processing hubs:

- 1- Fundsettle full clearing services (settlement included)
- 2- Fundsettle Order Routing only (direct settlement with SIM EU)
- 3- VESTIMA+ full clearing services (settlement included)
- 4- VESTIMA+ Order Routing only (direct settlement with SIM EU)
- 5- Calastone - Order Routing only (direct settlement with SIM EU)
- 6- NSCC Order - Routing and settlement
- 7- Fundserv Canada - Routing and settlement
- 8- EMX - order routing only (direct settlement with SIM EU)
- 9- Fundsquare - order routing only (direct settlement with SIM EU)
- 10- OMX Nasdaq - order routing only (direct settlement with SIM EU)

The above hubs have an international business coverage. We also use other processing hubs specific to domestic markets (for more information please contact us).

In order to transmit your orders to Schroder Investment Management (Europe) S.A. via a processing hub, you need to contact directly the hub to set up an electronic connection, usually via SWIFT, or via the hub's internet page (when this functionality is available).

In order to place orders directly with Schroder Investment Management (Europe) S.A. using SWIFT XML messages, the client, connected to the SWIFT network, needs to contact the Transfer Agency of Schroder Investment Management (Europe) S.A. in order to agree the exact formats to be exchanged.

After agreeing the formats to be used, a testing period will be required to validate the systems' set-up and the operational requirements.

This test phase will take approximately 1 month after which the exchange of "real" SWIFT XML messages may start.

SWIFT ISO20022 XML messages supported

- setr.004.001.04 = redemption
- setr.006.001.04 = redemption confirmation
- setr.010.001.04 = subscription
- setr.012.001.04 = subscription confirmation
- setr.013.001.04 = switch
- setr.053.001.04 = switch confirmation
- setr.016.001.04 = status report (ack/reject)

The following message is also available on request

- semt.002.001.02 = custody statement of holding
- semt.006.001.02 = accounting statement of transactions
- MT535 = Statements of Holdings
- MT536 = Statements of Transactions

For any assistance and additional information on the above, do not hesitate to contact the Dealing department of Schroder Investment Management (Europe) S.A. (see contact details below), to agree an implementation program or simply to get contact details for the processing hubs.

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