

Ottobre 2019

Certificazione, ai sensi del Decreto Legge 138 del 13 agosto 2011 (Modifica del regime di tassazione dei redditi di capitale e diversi di natura finanziaria in Italia) convertito in Legge n. 148 del 14/9/2011, n. 216 della G.U. del 16.9.2011, relativa alla **percentuale** di obbligazioni ed altri titoli di cui all'art. 31 del D.P.R. N. 601/73, nonché di quelli con regime fiscale equiparato, ed alle obbligazioni emesse dagli Stati inclusi nella c.d. White List presenti nelle **classi di azione** della Sicav **GAIA** valida per il periodo **01/01/2020 – 30/06/2020**.

Abbreviazioni

IRRP: Italian Reduced Rate Percentage

Y/N: indica se la classe di azioni è autorizzata o meno al collocamento in Italia.

ISIN	CCY	% IRRP	LATEST FINANCIAL REPORT DATE	SUB-FUND NAME	Y/N
LU1293073232	USD	57.15%	31/03/2019	Schroder GAIA Blue Trend	Y
LU1293074552	CHF	57.15%	31/03/2019	Schroder GAIA Blue Trend	N
LU1293073745	EUR	57.15%	31/03/2019	Schroder GAIA Blue Trend	Y
LU1365049243	SGD	57.15%	31/03/2019	Schroder GAIA Blue Trend	N
LU1293073315	USD	57.15%	31/03/2019	Schroder GAIA Blue Trend	Y
LU1293074636	CHF	57.15%	31/03/2019	Schroder GAIA Blue Trend	N
LU1293073828	EUR	57.15%	31/03/2019	Schroder GAIA Blue Trend	Y
LU1293074123	GBP	57.15%	31/03/2019	Schroder GAIA Blue Trend	N
LU1293074396	GBP	57.15%	31/03/2019	Schroder GAIA Blue Trend	N
LU1725203670	GBP	57.15%	31/03/2019	Schroder GAIA Blue Trend	N
LU1725203837	CHF	57.15%	31/03/2019	Schroder GAIA Blue Trend	N
LU1293073406	USD	57.15%	31/03/2019	Schroder GAIA Blue Trend	N
LU1823585689	CHF	57.15%	31/03/2019	Schroder GAIA Blue Trend	N
LU1293074040	EUR	57.15%	31/03/2019	Schroder GAIA Blue Trend	N
LU1823585507	GBP	57.15%	31/03/2019	Schroder GAIA Blue Trend	N
LU1293074479	GBP	57.15%	31/03/2019	Schroder GAIA Blue Trend	N
LU1293073588	USD	57.15%	31/03/2019	Schroder GAIA Blue Trend	N
LU1293073661	GBP	57.15%	31/03/2019	Schroder GAIA Blue Trend	N
LU2049314961	USD	4.24%	31/03/2019	Schroder GAIA Cat Bond	N
LU2049315265	USD	4.24%	31/03/2019	Schroder GAIA Cat Bond	N

LU0951570505	USD	4.24%	31/03/2019	Schroder GAIA Cat Bond	N
LU0951571149	CHF	4.24%	31/03/2019	Schroder GAIA Cat Bond	N
LU0951570844	EUR	4.24%	31/03/2019	Schroder GAIA Cat Bond	N
LU1323608809	USD	4.24%	31/03/2019	Schroder GAIA Cat Bond	N
LU1323609013	SGD	4.24%	31/03/2019	Schroder GAIA Cat Bond	N
LU0951570760	USD	4.24%	31/03/2019	Schroder GAIA Cat Bond	N
LU0951571495	CHF	4.24%	31/03/2019	Schroder GAIA Cat Bond	N
LU0951571065	EUR	4.24%	31/03/2019	Schroder GAIA Cat Bond	N
LU0951570687	USD	4.24%	31/03/2019	Schroder GAIA Cat Bond	N
LU0951571222	CHF	4.24%	31/03/2019	Schroder GAIA Cat Bond	N
LU0951570927	EUR	4.24%	31/03/2019	Schroder GAIA Cat Bond	N
LU2049321230	USD	4.24%	31/03/2019	Schroder GAIA Cat Bond	N
LU1725199209	USD	0.00%	31/03/2019	Schroder GAIA Contour Tech Equity	Y
LU1725202193	CHF	0.00%	31/03/2019	Schroder GAIA Contour Tech Equity	Y
LU1725200650	EUR	0.00%	31/03/2019	Schroder GAIA Contour Tech Equity	Y
LU1725202946	SGD	0.00%	31/03/2019	Schroder GAIA Contour Tech Equity	N
LU1725199464	USD	0.00%	31/03/2019	Schroder GAIA Contour Tech Equity	N
LU1725199621	USD	0.00%	31/03/2019	Schroder GAIA Contour Tech Equity	Y
LU1725202359	CHF	0.00%	31/03/2019	Schroder GAIA Contour Tech Equity	Y
LU1725200817	EUR	0.00%	31/03/2019	Schroder GAIA Contour Tech Equity	Y
LU1725201468	GBP	0.00%	31/03/2019	Schroder GAIA Contour Tech Equity	N
LU1820063789	SEK	0.00%	31/03/2019	Schroder GAIA Contour Tech Equity	N
LU1725203167	SGD	0.00%	31/03/2019	Schroder GAIA Contour Tech Equity	N
LU1725199977	USD	0.00%	31/03/2019	Schroder GAIA Contour Tech Equity	N
LU1725202516	CHF	0.00%	31/03/2019	Schroder GAIA Contour Tech Equity	N
LU1725201039	EUR	0.00%	31/03/2019	Schroder GAIA Contour Tech Equity	N
LU1725200148	USD	0.00%	31/03/2019	Schroder GAIA Contour Tech Equity	N
LU1725202789	CHF	0.00%	31/03/2019	Schroder GAIA Contour Tech Equity	N
LU1725201203	EUR	0.00%	31/03/2019	Schroder GAIA Contour Tech Equity	N
LU1725201898	GBP	0.00%	31/03/2019	Schroder GAIA Contour Tech Equity	N
LU1820063862	SEK	0.00%	31/03/2019	Schroder GAIA Contour Tech Equity	N
LU1725203324	SGD	0.00%	31/03/2019	Schroder GAIA Contour Tech Equity	N
LU1725200494	USD	0.00%	31/03/2019	Schroder GAIA Contour Tech Equity	N
LU0463469048	EUR	0.00%	31/03/2019	Schroder GAIA Egerton Equity Fund	Y
LU0548375855	GBP	0.00%	31/03/2019	Schroder GAIA Egerton Equity Fund	N
LU0548376150	USD	0.00%	31/03/2019	Schroder GAIA Egerton Equity Fund	N
LU0465750833	GBP	0.00%	31/03/2019	Schroder GAIA Egerton Equity Fund	N
LU0513501626	EUR	0.00%	31/03/2019	Schroder GAIA Egerton Equity Fund	Y
LU0548376317	USD	0.00%	31/03/2019	Schroder GAIA Egerton Equity Fund	N
LU0463469121	EUR	0.00%	31/03/2019	Schroder GAIA Egerton Equity Fund	Y

LU0548376077	GBP	0.00%	31/03/2019	Schroder GAIA Egerton Equity Fund	N
LU1709302662	JPY	0.00%	31/03/2019	Schroder GAIA Egerton Equity Fund	N
LU0548376580	USD	0.00%	31/03/2019	Schroder GAIA Egerton Equity Fund	N
LU0489812916	EUR	0.00%	31/03/2019	Schroder GAIA Egerton Equity Fund	N
LU0465755048	GBP	0.00%	31/03/2019	Schroder GAIA Egerton Equity Fund	N
LU0463472182	EUR	0.00%	31/03/2019	Schroder GAIA Egerton Equity Fund	N
LU0463474048	EUR	0.00%	31/03/2019	Schroder GAIA Egerton Equity Fund	N
LU1309082094	USD	0.00%	31/03/2019	Schroder GAIA Egerton Equity Fund	N
LU1809995589	USD	56.19%	31/03/2019	Schroder GAIA Helix	Y
LU1809997015	CHF	56.19%	31/03/2019	Schroder GAIA Helix	N
LU1809996470	EUR	56.19%	31/03/2019	Schroder GAIA Helix	Y
LU1809997445	SGD	56.19%	31/03/2019	Schroder GAIA Helix	N
LU1809995746	USD	56.19%	31/03/2019	Schroder GAIA Helix	Y
LU1809997106	CHF	56.19%	31/03/2019	Schroder GAIA Helix	N
LU1809996553	EUR	56.19%	31/03/2019	Schroder GAIA Helix	Y
LU1809996801	GBP	56.19%	31/03/2019	Schroder GAIA Helix	N
LU1809997528	SGD	56.19%	31/03/2019	Schroder GAIA Helix	N
LU1809995829	USD	56.19%	31/03/2019	Schroder GAIA Helix	N
LU1809997288	CHF	56.19%	31/03/2019	Schroder GAIA Helix	N
LU1809996637	EUR	56.19%	31/03/2019	Schroder GAIA Helix	N
LU1809996041	USD	56.19%	31/03/2019	Schroder GAIA Helix	N
LU1809997361	CHF	56.19%	31/03/2019	Schroder GAIA Helix	N
LU1809996710	EUR	56.19%	31/03/2019	Schroder GAIA Helix	N
LU1809996983	GBP	56.19%	31/03/2019	Schroder GAIA Helix	N
LU1809997791	SGD	56.19%	31/03/2019	Schroder GAIA Helix	N
LU1809996397	USD	56.19%	31/03/2019	Schroder GAIA Helix	N
LU2049314532	EUR	56.19%	31/03/2019	Schroder GAIA Helix	N
LU2005494229	USD	0.00%		Schroder GAIA Nuveen Us Equity Long Short	N
LU2005495200	CHF	0.00%		Schroder GAIA Nuveen Us Equity Long Short	N
LU2005494732	EUR	0.00%		Schroder GAIA Nuveen Us Equity Long Short	N
LU2005495549	SGD	0.00%		Schroder GAIA Nuveen Us Equity Long Short	N
LU2005494492	USD	0.00%		Schroder GAIA Nuveen Us Equity Long Short	N
LU2005495382	CHF	0.00%		Schroder GAIA Nuveen Us Equity Long Short	N
LU2005494815	EUR	0.00%		Schroder GAIA Nuveen Us Equity Long Short	N
LU2005495036	GBP	0.00%		Schroder GAIA Nuveen Us Equity Long Short	N
LU2005495622	SGD	0.00%		Schroder GAIA Nuveen Us Equity Long Short	N
LU2005494575	USD	0.00%		Schroder GAIA Nuveen Us Equity Long Short	N
LU2005495465	CHF	0.00%		Schroder GAIA Nuveen Us Equity Long Short	N
LU2005494906	EUR	0.00%		Schroder GAIA Nuveen Us Equity Long Short	N
LU2005495119	GBP	0.00%		Schroder GAIA Nuveen Us Equity Long Short	N

LU2005495895	SGD	0.00%		Schroder GAIA Nuveen Us Equity Long Short	N
LU2005494658	USD	0.00%		Schroder GAIA Nuveen Us Equity Long Short	N
LU2005492793	USD	0.00%		Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005493684	CHF	0.00%		Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005493171	EUR	0.00%		Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005493924	SGD	0.00%		Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005492876	USD	0.00%		Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005493767	CHF	0.00%		Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005493254	EUR	0.00%		Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005493411	GBP	0.00%		Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005494062	SGD	0.00%		Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005492959	USD	0.00%		Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005493841	CHF	0.00%		Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005493338	EUR	0.00%		Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005493502	GBP	0.00%		Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005494146	SGD	0.00%		Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005493098	USD	0.00%		Schroder GAIA Nuveen Us Equity Market Neutral	N
LU0885727932	USD	3.80%	31/03/2019	Schroder GAIA Sirios US Equity	Y
LU0955587471	CHF	3.80%	31/03/2019	Schroder GAIA Sirios US Equity	N
LU0885728310	EUR	3.80%	31/03/2019	Schroder GAIA Sirios US Equity	Y
LU0885728070	USD	3.80%	31/03/2019	Schroder GAIA Sirios US Equity	Y
LU1309082177	CHF	3.80%	31/03/2019	Schroder GAIA Sirios US Equity	N
LU0885728401	EUR	3.80%	31/03/2019	Schroder GAIA Sirios US Equity	Y
LU0885728666	GBP	3.80%	31/03/2019	Schroder GAIA Sirios US Equity	N
LU0885728823	GBP	3.80%	31/03/2019	Schroder GAIA Sirios US Equity	N
LU0885728153	USD	3.80%	31/03/2019	Schroder GAIA Sirios US Equity	N
LU0885728583	EUR	3.80%	31/03/2019	Schroder GAIA Sirios US Equity	N
LU0885728237	USD	3.80%	31/03/2019	Schroder GAIA Sirios US Equity	N
LU1429039115	USD	42.56%	31/03/2019	Schroder GAIA Two Sigma Diversified	Y
LU1429039891	CHF	42.56%	31/03/2019	Schroder GAIA Two Sigma Diversified	N
LU1429039461	EUR	42.56%	31/03/2019	Schroder GAIA Two Sigma Diversified	Y
LU1429039545	GBP	42.56%	31/03/2019	Schroder GAIA Two Sigma Diversified	N
LU1429039206	USD	42.56%	31/03/2019	Schroder GAIA Two Sigma Diversified	N
LU1429039032	USD	42.56%	31/03/2019	Schroder GAIA Two Sigma Diversified	Y
LU1479556000	CHF	42.56%	31/03/2019	Schroder GAIA Two Sigma Diversified	N
LU1429039388	EUR	42.56%	31/03/2019	Schroder GAIA Two Sigma Diversified	Y
LU1861627146	GBP	36.94%	31/03/2019	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1861628037	CHF	36.94%	31/03/2019	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1861627575	EUR	36.94%	31/03/2019	Schroder GAIA UK Dynamic Absolute Return Fund	Y
LU1861627815	USD	36.94%	31/03/2019	Schroder GAIA UK Dynamic Absolute Return Fund	N

LU1861627229	GBP	36.94%	31/03/2019	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1861627492	EUR	36.94%	31/03/2019	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1861627732	USD	36.94%	31/03/2019	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1861627062	GBP	36.94%	31/03/2019	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1861627658	EUR	36.94%	31/03/2019	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1861627906	USD	36.94%	31/03/2019	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1732474868	USD	33.07%	31/03/2019	Schroder GAIA Wellington Pagosa	Y
LU1732476137	CHF	33.07%	31/03/2019	Schroder GAIA Wellington Pagosa	Y
LU1732475592	EUR	33.07%	31/03/2019	Schroder GAIA Wellington Pagosa	Y
LU1732476566	SGD	33.07%	31/03/2019	Schroder GAIA Wellington Pagosa	N
LU1732474942	USD	33.07%	31/03/2019	Schroder GAIA Wellington Pagosa	N
LU1732475089	USD	33.07%	31/03/2019	Schroder GAIA Wellington Pagosa	Y
LU1732476210	CHF	33.07%	31/03/2019	Schroder GAIA Wellington Pagosa	Y
LU1732475675	EUR	33.07%	31/03/2019	Schroder GAIA Wellington Pagosa	Y
LU1732475915	GBP	33.07%	31/03/2019	Schroder GAIA Wellington Pagosa	N
LU1732476640	SGD	33.07%	31/03/2019	Schroder GAIA Wellington Pagosa	N
LU1732475162	USD	33.07%	31/03/2019	Schroder GAIA Wellington Pagosa	N
LU1732476301	CHF	33.07%	31/03/2019	Schroder GAIA Wellington Pagosa	N
LU1732475758	EUR	33.07%	31/03/2019	Schroder GAIA Wellington Pagosa	N
LU1732475246	USD	33.07%	31/03/2019	Schroder GAIA Wellington Pagosa	N
LU1732476483	CHF	33.07%	31/03/2019	Schroder GAIA Wellington Pagosa	N
LU1732475832	EUR	33.07%	31/03/2019	Schroder GAIA Wellington Pagosa	N
LU1732476053	GBP	33.07%	31/03/2019	Schroder GAIA Wellington Pagosa	N
LU1732476723	SGD	33.07%	31/03/2019	Schroder GAIA Wellington Pagosa	N
LU1732475329	USD	33.07%	31/03/2019	Schroder GAIA Wellington Pagosa	N


Firmatario Autorizzato

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