

May 2021

Certificazione, ai sensi del Decreto Legge 138 del 13 agosto 2011 (Modifica del regime di tassazione dei redditi di capitale e diversi di natura finanziaria in Italia) convertito in Legge n. 148 del 14/9/2011, n. 216 della G.U. del 16.9.2011, relativa alla **percentuale** di obbligazioni ed altri titoli di cui all'art. 31 del D.P.R. N. 601/73, nonché di quelli con regime fiscale equiparato, ed alle obbligazioni emesse dagli Stati inclusi nella c.d. White List presenti nelle **classi di azione** della Sicav **GAIA** valida per il periodo **01/07/2021 – 31/12/2021**.

Abbreviazioni

IRRP: Italian Reduced Rate Percentage

Y/N: indica se la classe di azioni è autorizzata o meno al collocamento in Italia.

ISIN	CCY	% IRRP	LATEST FINANCIAL REPORT DATE	SUB-FUND NAME	Y/N
LU1293073232	USD	61.78%	30/09/2020	Schroder GAIA Blue Trend	Y
LU1293073315	USD	61.78%	30/09/2020	Schroder GAIA Blue Trend	Y
LU1293073406	USD	61.78%	30/09/2020	Schroder GAIA Blue Trend	N
LU1293073588	USD	61.78%	30/09/2020	Schroder GAIA Blue Trend	N
LU1293073661	GBP	61.78%	30/09/2020	Schroder GAIA Blue Trend	N
LU1293073745	EUR	61.78%	30/09/2020	Schroder GAIA Blue Trend	Y
LU1293073828	EUR	61.78%	30/09/2020	Schroder GAIA Blue Trend	Y
LU1293074040	EUR	61.78%	30/09/2020	Schroder GAIA Blue Trend	N
LU1293074123	GBP	61.78%	30/09/2020	Schroder GAIA Blue Trend	N
LU1293074396	GBP	61.78%	30/09/2020	Schroder GAIA Blue Trend	N
LU1293074479	GBP	61.78%	30/09/2020	Schroder GAIA Blue Trend	N
LU1293074552	CHF	61.78%	30/09/2020	Schroder GAIA Blue Trend	N
LU1293074636	CHF	61.78%	30/09/2020	Schroder GAIA Blue Trend	N
LU1365049243	SGD	61.78%	30/09/2020	Schroder GAIA Blue Trend	N
LU1725203670	GBP	61.78%	30/09/2020	Schroder GAIA Blue Trend	N
LU1725203837	CHF	61.78%	30/09/2020	Schroder GAIA Blue Trend	N
LU1823585507	GBP	61.78%	30/09/2020	Schroder GAIA Blue Trend	N
LU1823585689	CHF	61.78%	30/09/2020	Schroder GAIA Blue Trend	N
LU2251782590	USD	61.78%	30/09/2020	Schroder GAIA Blue Trend	N
LU0951570505	USD	4.30%	30/09/2020	Schroder GAIA Cat Bond	N
LU0951570687	USD	4.30%	30/09/2020	Schroder GAIA Cat Bond	N
LU0951570760	USD	4.30%	30/09/2020	Schroder GAIA Cat Bond	N
LU0951570844	EUR	4.30%	30/09/2020	Schroder GAIA Cat Bond	N
LU0951570927	EUR	4.30%	30/09/2020	Schroder GAIA Cat Bond	N
LU0951571065	EUR	4.30%	30/09/2020	Schroder GAIA Cat Bond	N

LU0951571149	CHF	4.30%	30/09/2020	Schroder GAIA Cat Bond	N
LU0951571222	CHF	4.30%	30/09/2020	Schroder GAIA Cat Bond	N
LU0951571495	CHF	4.30%	30/09/2020	Schroder GAIA Cat Bond	N
LU1323608809	USD	4.30%	30/09/2020	Schroder GAIA Cat Bond	N
LU1323609013	SGD	4.30%	30/09/2020	Schroder GAIA Cat Bond	N
LU2049314961	USD	4.30%	30/09/2020	Schroder GAIA Cat Bond	N
LU2049315265	USD	4.30%	30/09/2020	Schroder GAIA Cat Bond	N
LU2049321230	USD	4.30%	30/09/2020	Schroder GAIA Cat Bond	N
LU2123042801	CHF	4.30%	30/09/2020	Schroder GAIA Cat Bond	N
LU2123043015	EUR	4.30%	30/09/2020	Schroder GAIA Cat Bond	N
LU2197696102	GBP	4.30%	30/09/2020	Schroder GAIA Cat Bond	N
LU2197696284	JPY	4.30%	30/09/2020	Schroder GAIA Cat Bond	N
LU2258453799	SEK	4.30%	30/09/2020	Schroder GAIA Cat Bond	N
LU2276590846	SEK	4.30%	30/09/2020	Schroder GAIA Cat Bond	N
LU1725199209	USD	0.00%	30/09/2020	Schroder GAIA Contour Tech Equity	Y
LU1725199464	USD	0.00%	30/09/2020	Schroder GAIA Contour Tech Equity	N
LU1725199621	USD	0.00%	30/09/2020	Schroder GAIA Contour Tech Equity	Y
LU1725200148	USD	0.00%	30/09/2020	Schroder GAIA Contour Tech Equity	N
LU1725200494	USD	0.00%	30/09/2020	Schroder GAIA Contour Tech Equity	N
LU1725200650	EUR	0.00%	30/09/2020	Schroder GAIA Contour Tech Equity	Y
LU1725200817	EUR	0.00%	30/09/2020	Schroder GAIA Contour Tech Equity	Y
LU1725201203	EUR	0.00%	30/09/2020	Schroder GAIA Contour Tech Equity	N
LU1725201468	GBP	0.00%	30/09/2020	Schroder GAIA Contour Tech Equity	N
LU1725201898	GBP	0.00%	30/09/2020	Schroder GAIA Contour Tech Equity	N
LU1725202193	CHF	0.00%	30/09/2020	Schroder GAIA Contour Tech Equity	Y
LU1725202359	CHF	0.00%	30/09/2020	Schroder GAIA Contour Tech Equity	Y
LU1725202789	CHF	0.00%	30/09/2020	Schroder GAIA Contour Tech Equity	N
LU1725202946	SGD	0.00%	30/09/2020	Schroder GAIA Contour Tech Equity	N
LU1725203167	SGD	0.00%	30/09/2020	Schroder GAIA Contour Tech Equity	N
LU1725203324	SGD	0.00%	30/09/2020	Schroder GAIA Contour Tech Equity	N
LU1820063789	SEK	0.00%	30/09/2020	Schroder GAIA Contour Tech Equity	N
LU1820063862	SEK	0.00%	30/09/2020	Schroder GAIA Contour Tech Equity	N
LU0463469048	EUR	0.00%	30/09/2020	Schroder GAIA Egerton Equity Fund	Y
LU0463469121	EUR	0.00%	30/09/2020	Schroder GAIA Egerton Equity Fund	Y
LU0463472182	EUR	0.00%	30/09/2020	Schroder GAIA Egerton Equity Fund	N
LU0463474048	EUR	0.00%	30/09/2020	Schroder GAIA Egerton Equity Fund	N
LU0465750833	GBP	0.00%	30/09/2020	Schroder GAIA Egerton Equity Fund	N
LU0465755048	GBP	0.00%	30/09/2020	Schroder GAIA Egerton Equity Fund	N
LU0489812916	EUR	0.00%	30/09/2020	Schroder GAIA Egerton Equity Fund	N
LU0513501626	EUR	0.00%	30/09/2020	Schroder GAIA Egerton Equity Fund	Y
LU0548375855	GBP	0.00%	30/09/2020	Schroder GAIA Egerton Equity Fund	N
LU0548376077	GBP	0.00%	30/09/2020	Schroder GAIA Egerton Equity Fund	N
LU0548376150	USD	0.00%	30/09/2020	Schroder GAIA Egerton Equity Fund	N
LU0548376317	USD	0.00%	30/09/2020	Schroder GAIA Egerton Equity Fund	N
LU0548376580	USD	0.00%	30/09/2020	Schroder GAIA Egerton Equity Fund	N
LU1309082094	USD	0.00%	30/09/2020	Schroder GAIA Egerton Equity Fund	N
LU2067255591	EUR	0.00%	30/09/2020	Schroder GAIA Egerton Equity Fund	N
LU1809995589	USD	45.54%	30/09/2020	Schroder GAIA Helix	Y

LU1809995746	USD	45.54%	30/09/2020	Schroder GAIA Helix	Y
LU1809995829	USD	45.54%	30/09/2020	Schroder GAIA Helix	N
LU1809996041	USD	45.54%	30/09/2020	Schroder GAIA Helix	N
LU1809996397	USD	45.54%	30/09/2020	Schroder GAIA Helix	N
LU1809996470	EUR	45.54%	30/09/2020	Schroder GAIA Helix	Y
LU1809996553	EUR	45.54%	30/09/2020	Schroder GAIA Helix	Y
LU1809996637	EUR	45.54%	30/09/2020	Schroder GAIA Helix	N
LU1809996710	EUR	45.54%	30/09/2020	Schroder GAIA Helix	N
LU1809996801	GBP	45.54%	30/09/2020	Schroder GAIA Helix	N
LU1809996983	GBP	45.54%	30/09/2020	Schroder GAIA Helix	N
LU1809997015	CHF	45.54%	30/09/2020	Schroder GAIA Helix	N
LU1809997106	CHF	45.54%	30/09/2020	Schroder GAIA Helix	N
LU1809997288	CHF	45.54%	30/09/2020	Schroder GAIA Helix	N
LU1809997361	CHF	45.54%	30/09/2020	Schroder GAIA Helix	N
LU1809997445	SGD	45.54%	30/09/2020	Schroder GAIA Helix	N
LU1809997528	SGD	45.54%	30/09/2020	Schroder GAIA Helix	N
LU1809997791	SGD	45.54%	30/09/2020	Schroder GAIA Helix	N
LU2049314532	EUR	45.54%	30/09/2020	Schroder GAIA Helix	N
LU2066080727	JPY	45.54%	30/09/2020	Schroder GAIA Helix	N
LU0885727932	USD	6.68%	30/09/2020	Schroder GAIA Sirius US Equity	Y
LU0885728070	USD	6.68%	30/09/2020	Schroder GAIA Sirius US Equity	Y
LU0885728153	USD	6.68%	30/09/2020	Schroder GAIA Sirius US Equity	N
LU0885728237	USD	6.68%	30/09/2020	Schroder GAIA Sirius US Equity	N
LU0885728310	EUR	6.68%	30/09/2020	Schroder GAIA Sirius US Equity	Y
LU0885728401	EUR	6.68%	30/09/2020	Schroder GAIA Sirius US Equity	Y
LU0885728583	EUR	6.68%	30/09/2020	Schroder GAIA Sirius US Equity	N
LU0885728666	GBP	6.68%	30/09/2020	Schroder GAIA Sirius US Equity	N
LU0885728823	GBP	6.68%	30/09/2020	Schroder GAIA Sirius US Equity	N
LU0955587471	CHF	6.68%	30/09/2020	Schroder GAIA Sirius US Equity	N
LU1309082177	CHF	6.68%	30/09/2020	Schroder GAIA Sirius US Equity	N
LU2067263181	EUR	6.68%	30/09/2020	Schroder GAIA Sirius US Equity	N
LU2093156029	USD	6.68%	30/09/2020	Schroder GAIA Sirius US Equity	N
LU1429039032	USD	28.93%	30/09/2020	Schroder GAIA Two Sigma Diversified	Y
LU1429039115	USD	28.93%	30/09/2020	Schroder GAIA Two Sigma Diversified	Y
LU1429039206	USD	28.93%	30/09/2020	Schroder GAIA Two Sigma Diversified	N
LU1429039388	EUR	28.93%	30/09/2020	Schroder GAIA Two Sigma Diversified	Y
LU1429039461	EUR	28.93%	30/09/2020	Schroder GAIA Two Sigma Diversified	Y
LU1429039545	GBP	28.93%	30/09/2020	Schroder GAIA Two Sigma Diversified	N
LU1429039891	CHF	28.93%	30/09/2020	Schroder GAIA Two Sigma Diversified	N
LU1479556000	CHF	28.93%	30/09/2020	Schroder GAIA Two Sigma Diversified	N
LU1861627062	GBP	49.98%	30/09/2020	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1861627146	GBP	49.98%	30/09/2020	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1861627229	GBP	49.98%	30/09/2020	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1861627492	EUR	49.98%	30/09/2020	Schroder GAIA UK Dynamic Absolute Return Fund	N

LU1861627575	EUR	49.98%	30/09/2020	Schroder GAIA UK Dynamic Absolute Return Fund	Y
LU1861627658	EUR	49.98%	30/09/2020	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1861627732	USD	49.98%	30/09/2020	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1861627815	USD	49.98%	30/09/2020	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1861627906	USD	49.98%	30/09/2020	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1861628037	CHF	49.98%	30/09/2020	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1732474868	USD	34.79%	30/09/2020	Schroder GAIA Wellington Pagosa	Y
LU1732474942	USD	34.79%	30/09/2020	Schroder GAIA Wellington Pagosa	N
LU1732475089	USD	34.79%	30/09/2020	Schroder GAIA Wellington Pagosa	Y
LU1732475162	USD	34.79%	30/09/2020	Schroder GAIA Wellington Pagosa	N
LU1732475246	USD	34.79%	30/09/2020	Schroder GAIA Wellington Pagosa	N
LU1732475329	USD	34.79%	30/09/2020	Schroder GAIA Wellington Pagosa	N
LU1732475592	EUR	34.79%	30/09/2020	Schroder GAIA Wellington Pagosa	Y
LU1732475675	EUR	34.79%	30/09/2020	Schroder GAIA Wellington Pagosa	Y
LU1732475758	EUR	34.79%	30/09/2020	Schroder GAIA Wellington Pagosa	N
LU1732475832	EUR	34.79%	30/09/2020	Schroder GAIA Wellington Pagosa	N
LU1732475915	GBP	34.79%	30/09/2020	Schroder GAIA Wellington Pagosa	N
LU1732476053	GBP	34.79%	30/09/2020	Schroder GAIA Wellington Pagosa	N
LU1732476137	CHF	34.79%	30/09/2020	Schroder GAIA Wellington Pagosa	Y
LU1732476210	CHF	34.79%	30/09/2020	Schroder GAIA Wellington Pagosa	Y
LU1732476301	CHF	34.79%	30/09/2020	Schroder GAIA Wellington Pagosa	N
LU1732476483	CHF	34.79%	30/09/2020	Schroder GAIA Wellington Pagosa	N
LU1732476566	SGD	34.79%	30/09/2020	Schroder GAIA Wellington Pagosa	N
LU1732476640	SGD	34.79%	30/09/2020	Schroder GAIA Wellington Pagosa	N
LU1732476723	SGD	34.79%	30/09/2020	Schroder GAIA Wellington Pagosa	N
LU2176713027	USD	34.79%	30/09/2020	Schroder GAIA Wellington Pagosa	N

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